

PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/699,466
Filing Date	October 31, 2000
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2829
Examiner Name	A. Sarkar
Attorney Docket Number	0756-2222

ENCLOSURES (check all that apply)

- Fee Transmittal Form
 Fee Attached
 Amendment / Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
 Drawing(s)
 Declaration and Power of Attorney
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s)

- After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosures
 1. RCE
 2.
 3.
 4.
 5.
 6.

Remarks The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
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Signature

Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Type or printed name

Adele M. Stamper

Signature

Adele M. Stamper

Date

June 23, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1160.00)

Complete if Known

Application Number	09/699,466
Filing Date	October 31, 2000
First Named Inventor	Shunpei YAMAZAKI et al.
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Attorney Docket No.	0756-2222

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-2280

Deposit Account Name

Robinson Intellectual Property Law Office

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit overpayments

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	750	201 375 Utility filing fee	
106	330	206 165 Design filing fee	
107	520	207 260 Plant filing fee	
108	750	208 375 Reissue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	.20**	=	
Independent Claims	.3**	=	
Multiple Dependent		=	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20	
102	84	202 42 Independent claims in excess of 3	
104	280	204 140 Multiple dependent claim, if not paid	
109	84	209 42 ** Reissue independent claims over original patent	
110	18	210 9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

-- or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	\$410.00
116	410	200	205 Extension for reply within second month	
117	930	460	465 Extension for reply within third month	
118	1,450	720	725 Extension for reply within fourth month	
128	1,970	228	985 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	750	279	375 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	\$750.00

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1160.00)

CERTIFICATE OF MAILING

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Adel M. Stimpson

Complete (if applicable)

SUBMITTED BY	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone	(571) 434-6789
Name (Print/Type)				Date	June 23, 2003
Signature					



ROBINSON INTELLECTUAL PROPERTY LAW OFFICE
PATENTS · TRADEMARKS · COPYRIGHTS

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September 11, 2003

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FACSIMILE COVER SHEET

To:	Ex. A. Sakkar	From:	Eric J. Robinson
Facsimile Number:	703-746-3860	Telephone No.	
Number of Pages (including cover sheet):		29	

Re: U.S. Patent Application
Serial No. 09/699,466
For: Method for Fabricating a Semiconductor Device
Our Reference: 0756-2222

Pursuant to your recent request, attached please find a copy of the RCE, Amendment, Petition for Extension of time, and Information Disclosure Statement that were filed in the U.S.P.T.O. on June 23, 2003. A copy of the PTO stamped receipt card is also attached.

SEP. 11. 2003 2:05PM

RIPL0 571-434-9499

NO. 8366 P. 2

This will acknowledge receipt of the following:

1. Transmittal Form/Fee Transmittal (2 copies)
2. RCE (2 copies)
3. Amendment
4. Petition for Extension of Time (2 copies)
5. Check No. 2131 in the amount of \$1160.00 (750 rec; 410 2ext)
6. Information Disclosure Statement
7. PTO Form-1449 with copies of references listed thereon
8. Certificates of Mailing for each of the above

In re PATENT application of:

SHUNPEI YAMAZAKI et al

Serial No. 09/699,466

Filed: 10/31/2000

Due Date: 06/22/2003

For: METHOD FOR FABRICATING A SEMICONDUCTOR DEVICE

Docket: 740756-002222

June 23, 2003

EJR/EJR/tms



JUN 30 2003
RIPL0 571-434-9499